

2131 #6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
FOX ET AL.)
Serial No. 09/500,108)
Filing Date: February 8, 2000)
For: SYSTEM AND METHOD FOR)
ASSESSING THE SECURITY)
POSTURE OF A NETWORK)

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SUBMISSION OF AN INFORMATION
DISCLOSURE STATEMENT UNDER §1.97(c) & (e)

Director, U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

Attached is a form PTO-1449 listing several references which may be considered material to the examination of the above-identified application. A copy of each reference is also enclosed.

Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

(1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

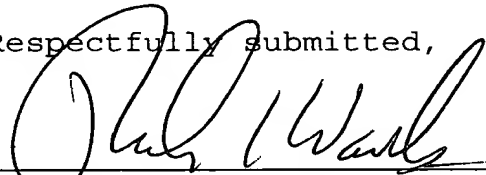
(2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to

In re Patent Application of:
FOX ET AL.
Serial No. 09/500,108
Filing Date: 02/08/2000

any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97(c) and (e).

Respectfully submitted,



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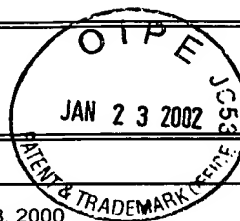
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **DIRECTOR, U.S. PATENT & TRADEMARK OFFICE, WASHINGTON, DC 20231**, on this 26th day of December, 2001.



#6

FORM PTO-1449
LIST OF PATENTS AND
APPLICANT'S INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: GCSD-1054 (51045)

SERIAL NO.: 09/500,108

FILING DATE: February 8, 2000

APPLICANT: FOX ET AL.

GROUP:

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING IF APPROPRIATE
	AA	5,812,763	09/22/98	Teng	395	187.01	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
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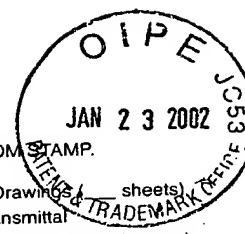
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION Yes -- No
	AM	WO 99/56195	04.11.1999	PCT	G06F1	00	
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	AO		
	AP		

EXAMINER:	DATE CONSIDERED:
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; * Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

SERIAL/PATENT NO. 09/500,108
FILED/ISSUED 2-8-00
APPLICANT Fox et al.



KINDLY ACKNOWLEDGE RECEIPT OF ACCOMPANYING PAPERS WITH YOUR MAIL ROOM STAMP.

- ☐ \$ _____ check
- ☐ Authorization - Deposit Account No. _____
- ☐ Patent application transmittal with Certificate of Express Mail Label No. _____
- ☐ (_____ pages, _____ claims, _____ drawings)
- ☐ CPA Request Transmittal Form
- ☐ Declaration and Power of Attorney
- ☐ Assignment w/Cover Sheet
- ☐ Verified Statement
- ☒ Response to Notice to File Missing Parts
- ☒ Citation Under 37 CFR §1.97 (IDS)
- ☒ Form PTO-1449 and Copies of Cited References
- ☐ Response to Restriction Requirement
- ☐ Amendment Transmittal Form
- ☐ Amendment Responsive to the Official Action of _____
- ☐ Request for Extension of Time (____ mos.)
- ☐ Notice of Appeal (\$310 large entity)
- ☐ Appellant's Appeal Brief (\$310 large entity)

FOREIGN

- ☐ Transmittal of Formal Drawings _____ sheets
- ☐ Part B -- Issue Fee Transmittal
- ☐ PCT Request (____ pp.) + Application (____ pp.)
- ☐ National Phase Transmittal Letter
- ☐ Certificate of Express Mail Label No. _____
- ☐ Response to PCT Invitation to Correct Defects
- ☐ Ch.II Demand
- ☐ Associate Power of Attorney
- ☐ Revocation of Prior Powers of Attorney and POA
- ☐ Petition Under 37 CFR §1.182 + \$130 Fee
- ☐ Terminal Disclaimer + \$110 Fee
- ☐ Request for Correction to Filing Receipt
- ☐ Request for Correction to Assignment and/or cover
- ☐ Transmittal of Certified Copy of Priority Document
- ☐ Preliminary Amendment
- ☐ Submission of Proposed Drawing Modification
- ☐ Request Certificate of Correction + PTO 1050

DATE 12/26/01 FILE NO. 51045 Harris

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